

**PAPER** *Special Section on the 5th Karuizawa Workshop on Circuits and Systems***A Synthesis of an Optimal File Transfer on a File Transmission Net**Yoshihiro KANEKO<sup>†</sup>, Shoji SHINODA<sup>††</sup> and Kazuo HORIUCHI<sup>†</sup>, *Members*

**SUMMARY** A file transmission net  $N$  is a directed communication net with vertex set  $V$  and arc set  $B$  such that each arc  $e$  has positive cost  $c_a(e)$  and each vertex  $u$  in  $V$  has two parameters of positive cost  $c_v(u)$  and nonnegative integral demand  $d(u)$ . Some information to be distributed through  $N$  is supposed to have been written in a file and the written file is denoted by  $J$ , where the file means an abstract concept of information carrier. In this paper, we define concepts of file transfer, positive demand vertex set  $U$  and mother vertex set  $M$ , and we consider a problem of distributing  $d(v)$  copies of  $J$  through a file transfer on  $N$  from a vertex  $v_1$  to every vertex  $v$  in  $V$ . As a result, for  $N$  such that  $M \subseteq U$ , we propose an  $O(nm + n^2 \log n)$  algorithm, where  $n = |V|$  and  $m = |B|$ , for synthesizing a file transfer whose total cost of transmitting and making copies of  $J$  is minimum on  $N$ .

**key words:** minimum spanning tree, shortest path, vertex cost, arc cost, vertex demand

**1. Introduction**

We consider a directed communication net, called a file transmission net, which is a connected directed graph  $N$  with vertex set  $V$  and arc set  $B$  such that (1) if  $(x, y) \in B$ , then  $(y, x) \in B$ , (2) with each vertex  $u \in V$ , a positive integral weight  $c_v(u)$  as well as a nonnegative integral weight  $d(u)$  is associated, (3) with each arc  $e \in B$  a positive integral weight  $c_a(e)$  is associated, and (4) for each arc  $(x, y)$ , there holds  $c_a((x, y)) = c_a((y, x))$ . It should be noted from (1) and (4) that  $N$  is represented by an undirected communication net.

Suppose that some information to be distributed through  $N$  has been written in a file and the written file is denoted by  $J$ , where the file means an abstract concept of information carrier. Then we consider a problem of distributing copies of  $J$  through  $N$  from a vertex  $v_1$  to every vertex. In this situation,  $c_v(u)$  means the cost of making a copy of  $J$  at a vertex  $u$ , and  $c_a(e)$  means the cost of transmitting a copy of  $J$  through an arc  $e$ . The demand at  $u$ , denoted by  $d(u)$ , is the number of copies of  $J$  needed at  $u$ . On  $N$ , we define a file transfer with which (1)  $J$  is first given to  $v_1$  from the outside of  $N$ , (2) copies of  $J$  are transmitted

through arcs, and (3)  $d(u)$  copies of  $J$  are taken out of each vertex  $u$  to the outside of  $N$ . We introduce concepts of positive demand vertex set  $U$  and mother vertex set  $M$ , and for  $N$  such that  $M \subseteq U$ , we propose an  $O(nm + n^2 \log n)$  algorithm, where  $n = |V|$  and  $m = |B|$ , of synthesizing an optimal file transfer by which we mean a file transfer whose total cost of transmitting and making copies of  $J$  is minimum on  $N$ . The definition of  $U$  and  $M$  will be made in the preliminaries.

**2. Preliminaries**

For basic graph-theoretic terms and concepts used in this paper, refer to those in Ref. (1). Let  $V$  and  $B$  be the sets of vertices and arcs of a file transmission net  $N$ . Throughout this paper, any arc is directed but any edge is undirected, and for any arc  $e = (x, y)$  and any function  $f$  on  $B$ ,  $f((x, y))$  is simply denoted by  $f(x, y)$ . Let  $A(v) = \{w \in V \mid (v, w) \in B \text{ or } (w, v) \in B\}$  for a vertex  $v$  in  $V$ . In this paper, every path is simple unless otherwise stated. We simply say a  $u$ - $w$  path instead of a directed path from a vertex  $u$  to a vertex  $w$  in  $N$ . The set of  $u$ - $w$  paths in  $N$  is denoted by  $P_{u,w}$ . For any path  $P$  in  $N$ ,  $V(P)$  and  $B(P)$  denote the vertex set and the arc set, respectively, on  $P$ . For a path  $P$  in  $N$ , an  $x$ - $y$  path  $P'$  is called the  $x$ - $y$  subpath of  $P$  if  $V(P') \subseteq V(P)$  and  $B(P') \subseteq B(P)$ . The total cost of all arcs on a path  $P$  is denoted by  $c(P)$ . If a  $u$ - $w$  path  $P$  in  $N$  satisfies  $c(P) \leq c(P')$  for every other  $u$ - $w$  path  $P'$  in  $N$ , then  $P$  is called a minimum cost  $u$ - $w$  path in  $N$ . The set of minimum cost  $u$ - $w$  paths in  $N$  is denoted by  $\tilde{P}_{u,w}$ . In the following, for any two vertices  $u$  and  $w$ , we simply denote  $c_{u,w}$  instead of  $c(P)$  for any path  $P$  in  $\tilde{P}_{u,w}$ . For a directed or undirected graph  $G$ ,  $B(G)$  and  $E(G)$  denote the arc set and the edge set, respectively, of  $G$ . If with all arcs on a path  $P$  a uniform number  $k$  is associated, then  $P$  is called uniformly weighted with  $k$ . For a set  $P$  of all uniformly weighted paths on  $N$ , we superimpose all the paths in  $P$  to form a net  $N(P)$  in such a way that (1) the vertex set of  $N(P)$  is  $V$  and (2) the weight of each arc  $(x, y)$  of  $N(P)$  is the sum of the corresponding arc weights of all the paths containing  $(x, y)$  in  $P$ . Then  $N(P)$  is called the superimposition net of  $P$ . For a function  $f$  on  $B$ , if every arc  $e$  on a path  $P$  satisfies  $f(e) > 0$ , then

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$P$  is said to be  $f$ -positive.

As is stated in the introduction,  $J$  is a file in which some information to be distributed through  $N$  has been written,  $c_v(u)$  is the cost of making a copy of  $J$  at a vertex  $u$ ,  $c_a(e)$  is the cost of transmitting a copy of  $J$  through an arc  $e$ , and for every arc  $(x, y)$  in  $B$ ,  $c_a((x, y))$  is simply denoted by  $c_a(x, y)$ . Also,  $d(u)$  is the number of copies of  $J$  needed at a vertex  $u$ , called the demand at  $u$ .  $N$  is sometimes denoted by  $N = (V, B, c_v, d, c_a)$ .

Let  $U$  be the set of vertices where some copies of  $J$  are practically needed, i.e.,  $U = \{v \in V \mid d(v) > 0\}$ . We call  $U$  the positive demand vertex set of  $N$ . Throughout this paper,  $U$  means the positive demand vertex set of  $N$  and  $\mathbf{Z}_+$  denotes the set of nonnegative integers.

Suppose that the original of  $J$  is first given to some vertex  $v_1$  of  $N$  from the outside of  $N$ . We take out  $d(v)$  copies of  $J$  from each vertex  $v$  through a file transfer defined below:

**Definition 1:** In  $N = (V, B, c_v, d, c_a)$ , let  $\phi$  be a function from  $V$  into  $\mathbf{Z}_+$  and let  $f$  be a function from  $B$  into  $\mathbf{Z}_+$ . Then  $D = (\phi, f)$  is called a file transfer on  $N$  if  $\phi$  and  $f$  satisfy the following two conditions:

(C1) The conservation at vertex; there hold

$$\sum_{x \in A(v)} f(x, v) + \phi(v) = \sum_{y \in A(v)} f(v, y) + d(v) \quad (v \in V \setminus \{v_1\}),$$

$$1 + \sum_{x \in A(v_1)} f(x, v_1) + \phi(v_1) = \sum_{y \in A(v_1)} f(v_1, y) + d(v_1).$$

(C2) The distribution of  $J$ ; for any supply vertex  $v$  with respect to  $D$ , there exists an  $f$ -positive  $v_1$ - $v$  path in  $D$ , where a vertex  $v$  is called a supply vertex with respect to  $D$  if  $\phi(v) > 0$ .  $\square$

Note here that, in (C1), the original of  $J$  given to  $v_1$  is regarded as one of  $d(v_1)$  copies if  $d(v_1) > 0$ . Since a file transfer  $D$  satisfies (C1),  $d(v)$  copies of  $J$  can be taken out from a vertex  $v$  to the outside of  $N$ . Any file transfer on  $N$  has the following property.

**Lemma 1:** A file transfer  $D = (\phi, f)$  contains an  $f$ -positive  $v_1$ - $u$  path for any vertex  $u$  in  $U$  on  $N = (V, B, c_v, d, c_a)$ .

**Proof:** If  $u = v_1$ , then clearly holds this lemma. Hence we consider a vertex in  $U \setminus \{v_1\}$ . For a vertex  $u$  in  $U \setminus \{v_1\}$ , let  $V' = \{v \in V \mid \text{there exists an } f\text{-positive } v\text{-}u \text{ path on } D\}$ . If  $v_1 \in V'$ , then clearly holds this lemma. Hence we assume that  $v_1 \notin V'$ . Summing equations of (C1) over  $v \in V'$ , we get

$$\begin{aligned} & \sum_{\substack{x \in V \setminus V' \\ y \in V' \\ (x, y) \in B}} f(x, y) + \sum_{v \in V'} \phi(v) \\ &= \sum_{\substack{x \in V \setminus V' \\ y \in V' \\ (x, y) \in B}} f(x, y) + \sum_{v \in V'} d(v). \end{aligned}$$

By the definition of  $V'$ , the first term in left-hand side

of the above equation is equal to 0. Since  $u \in V' \cap U$ , there holds  $\sum_{v \in V'} d(v) \geq 1$ , which implies that  $\sum_{v \in V'} \phi(v) \geq 1$ . Suppose that a vertex  $v'$  in  $V'$  satisfies  $\phi(v') > 0$ . Then from (C2) there exists an  $f$ -positive  $v_1$ - $v'$  path on  $D$ . However, this means that  $D$  contains an  $f$ -positive  $v_1$ - $u$  path via  $v'$  from  $v' \in V'$ , which contradicts  $v_1 \notin V'$ . Since  $u$  is arbitrary, we have this lemma.  $\square$

The cost of  $D$ , denoted by  $C(D)$ , is defined to be the sum of the cost of making copies of  $J$  at vertices and the cost of transmitting copies of  $J$  through arcs.

**Definition 2:** For a file transfer  $D = (\phi, f)$  on  $N = (V, B, c_v, d, c_a)$ , let

$$\begin{aligned} C(D) &= \sum_{u \in V} c_v(u) \cdot \phi(u) \\ &+ \sum_{(x, y) \in B} c_a(x, y) \cdot f(x, y), \end{aligned}$$

which is called the cost of  $D$ . A file transfer  $D$  on  $N$  is said to be *optimal* if  $C(D) \leq C(D')$  for every other file transfer  $D'$  on  $N$ .  $\square$

The following concept of mother vertex is fundamental in order to synthesize an optimal file transfer on a given file transmission net.

**Definition 3:** A vertex  $x$  in  $N = (V, B, c_v, d, c_a)$  is called a mother vertex in  $N$  if  $c_v(x) < c_v(y) + c_{x, y}$  for any vertex  $y$  in  $V \setminus \{x\}$ . The set of all mother vertices in  $N$  is called the mother vertex set in  $N$  and is denoted by  $M$ .  $\square$

In the following, unless otherwise stated, we simply denote  $M$  instead of the mother vertex set, and we only consider file transmission nets such that  $M \subseteq U$ . In relation to each mother vertex in an optimal file transfer, we have the following proposition, which is an expansion of Proposition 1 of Ref. (5).

**Proposition 1:** Suppose that  $M \subseteq U$  in  $N = (V, B, c_v, d, c_a)$ . Then a necessary condition for a file transfer  $D = (\phi, f)$  to be optimal on  $N$  is that there holds  $\sum_{x \in A(m)} f(x, m) = 1$  for any vertex  $m$  in  $M \setminus \{v_1\}$ , and that if  $v_1 \in M$ , there holds  $\sum_{x \in A(v_1)} f(x, v_1) = 0$ .

**Proof:** By Lemma 1 and  $M \subseteq U$ , there exists an  $f$ -positive  $v_1$ - $v$  path for any vertex  $v$  in  $M$ , which implies  $\sum_{x \in A(m)} f(x, m) \geq 1$  for every vertex  $m$  in  $M \setminus \{v_1\}$ .

Let  $M_f = \{v \in M \mid \sum_{x \in A(v)} f(x, v) \geq 2\}$ . In order to prove this proposition, it suffices to show that if a file transfer  $D = (\phi, f)$  satisfies  $M_f \neq \emptyset$ , then there exists another file transfer  $\tilde{D} = (\tilde{\phi}, \tilde{f})$  such that  $C(\tilde{D}) < C(D)$  and  $M_{\tilde{f}} \subset M_f$ , where  $M_{\tilde{f}} = \{v \in M \mid \sum_{x \in A(v)} \tilde{f}(x, v) \geq 2\}$ . Let  $S$

be the supply vertex set with respect to  $D$ . Let  $m$  be a vertex in  $M_f$  and let  $k = \sum_{x \in A(m)} f(x, m)$ . Then, without loss of generality, we assume that  $k'$  copies of  $J$  are made at some vertex  $s$  in  $S$ , are transmitted through an  $s$ - $m$  path  $P$ , and are sent to  $m$ , where  $0 < k' \leq k$ . Let  $j = \min\{k', k-1\}$ . For  $D = (\phi, f)$ , we define a function  $\phi'$  on  $V$  as well as a function  $f'$  on  $B$  to be

$$\begin{aligned}\phi'(s) &= \phi(s) - j, & \phi'(m) &= \phi(m) + j, \\ \phi'(v) &= \phi(v) & (\text{otherwise}), \\ f'(e) &= f(e) - j & (e \in B(P)), \\ f'(e) &= f(e) & (\text{otherwise}).\end{aligned}$$

For  $f'$  and  $P$ , let  $S' = \{v \in V(P) \cap S \mid \sum_{x \in A(v)} f'(x, v) = 0\}$ . Here two cases of (i)  $S' = \phi$ , and (ii)  $S' \neq \phi$  are considered. In the case (i),  $D' = (\phi', f')$  is a file transfer on  $N$ , because  $\phi'$  and  $f'$  clearly satisfy (C1) and (C2). In the case (ii), let  $P_1$  be the  $s$ - $u$  subpath of  $P$  such that  $u \in S'$  and  $V(P_1) \cap S \supseteq S'$ . For  $D' = (\phi', f')$ , we define a function  $\phi''$  on  $V$  as well as a function  $f''$  on  $B$  to be

$$\begin{aligned}\phi''(s) &= \phi'(s) + 1, & \phi''(u) &= \phi'(u) - 1, \\ \phi''(v) &= \phi'(v) & (\text{otherwise}), \\ f''(e) &= f'(e) + 1 & (e \in B(P_1)), \\ f''(e) &= f'(e) & (\text{otherwise}).\end{aligned}$$

Clearly  $\phi''$  and  $f''$  satisfy (C1). For any vertex  $v$  in  $S'$ , there exists an  $f''$ -positive  $v_1$ - $v$  path because there exists an  $f''$ -positive  $s$ - $v$  path. Then  $\phi''$  and  $f''$  satisfy (C2), which means that  $D'' = (\phi'', f'')$  is a file transfer on  $N$ . In the case (i), we have  $C(D) - C(D') = \{c_v(s) + c(P) - c_v(m)\} \cdot j > 0$  by Definition 3. In the case (ii), we have  $C(D) - C(D'') = (j-1) \cdot \{c_v(s) + c(P) - c_v(m)\} + c_v(u) + c(P_2) - c_v(m) > 0$ , where  $P_2$  is the  $u$ - $m$  subpath of  $P$ . As a result, if  $k-j \neq 1$ , repeating the above operation, we get a file transfer  $\tilde{D} = (\tilde{\phi}, \tilde{f})$  such that  $C(D) > C(\tilde{D})$  and  $M_{\tilde{f}} = M_f \setminus \{m\} \subset M_f$ . In the similar way, we can prove  $\sum_{x \in A(v_1)} f(x, v_1) = 0$  if  $v_1 \in M$ .  $\square$

As an example, let us consider a file transmission

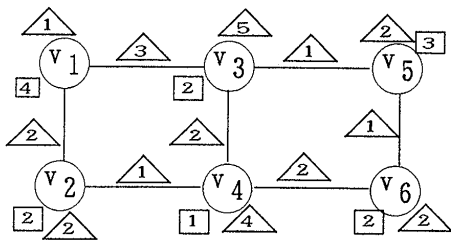


Fig. 1 An example of a file transmission net  $N$ .

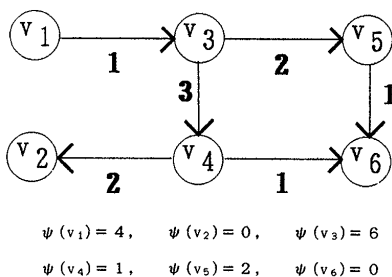


Fig. 2 A file transfer  $D = (\phi, f)$  on  $N$ .

net  $N$  shown in Fig. 1, where  $N$  is represented by the corresponding undirected graph for simplicity and the symbol  $\triangle$  denotes the cost of vertex or arc and the symbol  $\square$  denotes the demand of vertex. In this example,  $N$  satisfies  $U = V$ , and a file transfer  $D = (\phi, f)$  is shown in Fig. 2, where a number beside an arc  $e$  denotes  $f(e)$ . Throughout this paper, arcs with zero weights are omitted in all figures but those of illustrating file transmission nets. The set of supply vertices with respect to  $D$  is  $\{v_1, v_3, v_4, v_5\}$ . The cost  $C(D)$  of  $D$  is

$$\begin{aligned}C(D) &= 1 \cdot 4 + 5 \cdot 6 + 4 \cdot 1 + 2 \cdot 2 + 3 + 2 + 6 + 1 \\ &\quad + 2 + 2 = 58.\end{aligned}$$

### 3. Delivery Transfer

In this section, we show that it suffices to consider a file transfer  $D$  such that the supply vertex set with respect to  $D$  is a subset of  $M$  in  $N$  in order to synthesize an optimal file transfer on  $N$ .

**Definition 4:** For a vertex  $u$  in  $V$  in  $N = (V, B, c_v, d, c_a)$ , let  $H(u) = \{w \in V \mid c_v(w) < +\infty \text{ and } c_v(w) + c_{w,u} \leq c_v(w') + c_{w',u} \text{ for any vertex } w' \in V\}$ .  $\square$

In the following, a function  $H$  on  $V$  indicates the function  $H$  in Definition 4, unless otherwise stated. Let  $w$  be a vertex in  $H(u)$  for a vertex  $u$ . Then the total cost of making copies of  $J$  at  $w$  and sending the copies to  $u$  through a minimum cost  $u$ - $w$  path is not more than the total cost of making copies of  $J$  at a vertex  $w'$  and sending the copies to  $u$  through some  $w'$ - $u$  path. It turns out from Definitions 3 and 4 that a vertex  $v$  is a mother vertex if and only if  $H(v) = \{v\}$ . In relation to  $H$  and  $M$ , the following lemmas hold.

**Lemma 2:** For a vertex  $x$  in  $V \setminus M$ , suppose that  $H(x) \cap M \neq \phi$  in  $N = (V, B, c_v, d, c_a)$ . Then  $H(y) \cap M \neq \phi$  for a vertex  $y$  such that  $x \in H(y)$ .

**Proof:** Let  $z$  be a vertex in  $H(x) \cap M$ . Then we have  $c_v(z) + c_{z,x} \leq c_v(x)$ , because  $z \in H(x)$ . Clearly we have  $c_{z,y} \leq c_{z,x} + c_{x,y}$ . From these equalities, we get  $c_v(z) + c_{z,y} \leq c_v(x) + c_{x,y}$ , which implies that  $z \in H(y)$  because  $x \in H(y)$ . Hence this lemma.  $\square$

**Lemma 3:** In  $N = (V, B, c_v, d, c_a)$ , for a vertex  $x$  in  $V \setminus M$ ,  $H(x)$  contains a vertex  $y$  such that  $c_v(x) > c_v(y)$ .

**Proof:** By  $x \in M$  and Definition 3,  $N$  contains a vertex  $z$  but  $x$  such that  $c_v(z) + c_{z,x} \leq c_v(x)$ , which implies that if  $x \in H(x)$  then  $z \in H(x)$  because of Definition 4. Then  $H(x) \setminus \{x\} \neq \phi$ . It is clear that  $c_v(y) + c_{y,x} \leq c_v(x)$  for a vertex  $y$  in  $H(x) \setminus \{x\}$ . Then  $c_v(x) > c_v(y)$  because  $y \neq x$  and  $c_{y,x} > 0$ . Hence this lemma.  $\square$

**Lemma 4:** In  $N = (V, B, c_v, d, c_a)$ , we have  $H(u) \cap M \neq \phi$  for any vertex  $u$  in  $V$ .

**Proof:** It is clear that  $H(m) \cap M \neq \phi$  for any vertex  $m$  in  $M$  because  $H(m) = \{m\}$ . Then we should prove

that for any vertex  $u$  in  $V \setminus M$ , there holds  $H(u) \cap M \neq \emptyset$ . Let  $u_1$  be a vertex in  $V \setminus M$ . By Lemma 3, we have a vertex  $u_2$  in  $H(u_1)$  such that  $c_v(u_1) > c_v(u_2)$ . If  $u_2 \notin M$ , then we can repeat using Lemma 3, and we get  $c_v(u_1) > c_v(u_2) > \dots > c_v(u_j)$  for an integer  $j$  more than 2 such that  $u_{i+1} \in H(u_i)$  with  $i=1, 2, \dots, j-1$ . Since  $|V \setminus M| < \infty$ , there exists an integer  $k$  such that  $u_k \in H(u_{k-1}) \cap M$ . Then we apply Lemma 2 in order of  $u_k, u_{k-1}, \dots, u_1$ , and we get  $H(u_1) \cap M \neq \emptyset$ . Hence this lemma.  $\square$

Using this lemma, we have proven the following proposition.

**Proposition 2:** If, in a file transfer  $D=(\phi, f)$  on  $N$  such that  $M \subseteq U$ , there hold

(1)  $\sum_{x \in A(m)} f(x, m) = 1$  for any vertex  $m$  in  $M \setminus \{v_1\}$ , and if  $v_1 \in M$ , then  $\sum_{x \in A(v_1)} f(x, v_1) = 0$ , and

(2)  $S \setminus M \neq \emptyset$  for the supply vertex set  $S$  with respect to  $D$ , then  $N$  contains a file transfer  $\tilde{D}=(\tilde{\phi}, \tilde{f})$  such that

(1)  $\sum_{x \in A(m)} \tilde{f}(x, m) = 1$  for any vertex  $m$  in  $M \setminus \{v_1\}$ , and if  $v_1 \in M$ , then  $\sum_{x \in A(v_1)} \tilde{f}(x, v_1) = 0$ ,

(2)  $\tilde{S} \subseteq M$  for the supply vertex set  $\tilde{S}$  with respect to  $\tilde{D}$ , and

(3)  $C(\tilde{D}) \leq C(D)$ .

**Proof:** Lemma 4 says that  $H(v) \cap M \neq \emptyset$  for each vertex  $v$  in  $V$ . Let  $s$  be a vertex in  $S \setminus M$  and let  $m$  be a vertex in  $H(s) \cap M$ . Let  $P$  be a minimum cost  $m$ - $s$  path in  $N$ . Note that  $V(P) \cap M = \{m\}$ . Then for  $D=(\phi, f)$ , we define a function  $\phi'$  on  $V$  as well as a function  $f'$  on  $B$  to be

$$\phi'(m) = \phi(m) + \phi(s), \quad \phi'(s) = 0,$$

$$\phi'(v) = \phi(v) \quad (\text{otherwise}),$$

$$f'(e) = f(e) + \phi(s) \quad (e \in B(P)),$$

$$f'(e) = f(e) \quad (\text{otherwise}).$$

Clearly,  $\phi'$  and  $f'$  satisfy (C1). Let  $S' = \{v \in V | \phi'(v) > 0\}$ . Since  $D=(\phi, f)$  is a file transfer,  $D$  contains an  $f$ -positive  $v_1$ - $v$  path for every vertex  $v$  in  $S$  by (C2). There also exists an  $f$ -positive  $v_1$ - $m$  path by  $M \subseteq U$  and Lemma 1. Hence for every vertex  $v$  in  $S'$  there exists an  $f'$ -positive  $v_1$ - $v$  path, which implies that  $\phi'$  and  $f'$  satisfy (C2). Then, we can say that  $D'=(\phi', f')$  is a file transfer on  $N$ . Since  $V(P) \cap M = \{m\}$ ,  $P \in P_{m,s}$  and  $s \notin M$ , we can say that  $B(P)$  has no arc whose end vertex is a mother vertex. Then from the above condition (1) of  $D$ , we can say that  $\sum_{x \in A(m)} f'(x, m) = 1$  for any vertex  $m$  in  $M \setminus \{v_1\}$ , and that if  $v_1 \in M$  then  $\sum_{x \in A(v_1)} f'(x, v_1) = 0$ . We also have  $S' = S \cup \{m\} \setminus \{s\}$ . Moreover it follows from Definition 2,  $\phi(s) > 0$ , and  $m \in H(s)$  that  $C(D') - C(D) = \phi(s) \cdot \{c_v(m) + c(P) - c_v(s)\} \leq 0$ . If  $S \setminus M \neq \emptyset$ , then for every vertex in  $S \setminus M$  we can repeat this operation and we get a file transfer  $\tilde{D}$  satisfying the above three conditions. Hence

this proposition.  $\square$

In order to synthesize an optimal file transfer, it turns out from Proposition 2 that we should consider a file transfer  $D$  such that the supply vertex set  $S$  with respect to  $D$  satisfies  $S \subseteq M$ . Using this property, we define the following net.

**Definition 5:** For each vertex  $u$  in  $U$  in  $N=(V, B, c_v, d, c_a)$ , select a vertex  $w$  in  $H(u) \cap M$  and let  $h(u) = w$ , where  $h$  is a function from  $U$  into  $M$ . Select a minimum cost  $h(u)$ - $u$  path  $P$  and associate with  $P$  a number  $d(u)$ . Let  $P$  be the set of such  $|U|$  uniformly weighted paths and let  $N_h$  be the superimposition net of  $P$  and let  $w(e)$  be the weight of each arc  $e$  in  $B(N_h)$ .  $D_h=(\phi_h, f_h)$  is called a delivery transfer on  $N$ , if a function  $\phi_h$  from  $V$  into  $\mathbf{Z}_+$  as well as a function  $f_h$  from  $B$  into  $\mathbf{Z}_+$  satisfies

$$\phi_h(v) = \sum_{u \in S(v)} d(u) \quad (v \in M),$$

$$\phi_h(v) = 0 \quad (\text{otherwise}),$$

$$f_h(e) = w(e) \quad (e \in B(N_h)),$$

$$f_h(e) = 0 \quad (\text{otherwise}),$$

where  $S(v) = \{u \in U | h(u) = v\}$ . Moreover, the cost, denoted by  $C(D_h)$ , of  $D_h$  is defined as

$$C(D_h) = \sum_{u \in V} c_v(u) \cdot \phi_h(u) + \sum_{e \in B} c_a(e) \cdot f_h(e). \quad \square$$

On a file transmission net  $N$  in Fig. 1, we have  $M = \{v_1, v_2, v_5, v_6\}$ . Since  $U = V$ , we have  $U \setminus M = \{v_3, v_4\}$ . There hold  $H(v_3) \cap M = \{v_5\}$  and  $H(v_4) \cap M = \{v_2\}$ , which imply  $h(v_3) = v_5$  and  $h(v_4) = v_2$ . Then we get a delivery transfer shown in Fig. 3, where a number beside an arc  $e$  denotes  $f_h(e)$ . Any delivery transfer has the following properties.

**Lemma 5:** For any delivery transfer  $D_h=(\phi_h, f_h)$  on  $N=(V, B, c_v, d, c_a)$ , there hold

$$\sum_{x \in A(v)} f_h(x, v) = \sum_{y \in A(v)} f_h(v, y) + d(v) \quad (v \in V \setminus M), \quad (1)$$

$$\sum_{x \in A(v)} f_h(x, v) = 0 \quad (v \in M), \quad (2)$$

**Proof:** It is clear that we have Eq. (1) from Definition 5. Suppose that a vertex  $m$  in  $M$  does not satisfy Eq. (2), which implies that for two vertices  $m'$

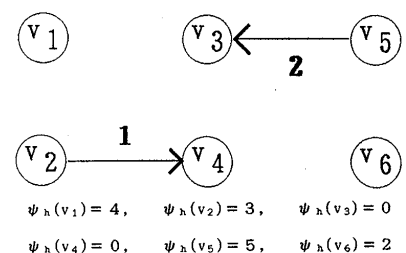


Fig. 3 A delivery transfer  $D_h=(\phi_h, f_h)$ .

in  $M$  and  $v$  in  $U \setminus M$ , there exists an arc  $(x, m)$  in  $B$  such that  $f_h(x, m) \geq d(v)$  in  $D_h$ . Since  $(x, m) \in B(P)$  for a path  $P$  in  $\tilde{P}_{m',v}$ , we have  $c_{m',v} = c_{m',m} + c_{m,v}$ . From  $m' \in H(v)$  and Definition 4, we have  $c_v(m') + c_{m',v} \leq c_v(m) + c_{m,v}$ . Then from these inequalities, we get  $c_v(m') + c_{m',m} \leq c_v(m)$ , which contradicts  $m \in M$ . Hence Eq. (2) holds.  $\square$

**Lemma 6:** The cost  $C(D_h)$  of any delivery transfer  $D_h = (\phi_h, f_h)$  on  $N = (V, B, c_v, d, c_a)$  is constant independently of the choice of both a vertex  $h(u)$  and a path in  $\tilde{P}_{h(u),u}$  for each vertex  $u$  in  $U$ .

**Proof:** In order to get a delivery transfer  $D_h = (\phi_h, f_h)$ , we superimpose a path  $P$  in  $\tilde{P}_{h(u),u}$  with which an integer  $d(u)$  is associated for each vertex  $u$  in  $U$ . It takes  $\{c_v(h(u)) + c_{h(u),u}\} \cdot d(u)$  for superimposing  $P$  to get  $D_h$ , which is constant independently of the choice of both  $h(u)$  and  $P$  from Definitions 4 and 5. Hence this lemma.  $\square$

We show some relation between a file transfer and a delivery transfer.

**Proposition 3:** For any file transfer  $D$  and any delivery transfer  $D_h = (\phi_h, f_h)$  on  $N = (V, B, c_v, d, c_a)$  such that  $M \subseteq U$ , there exists on  $N$  a file transfer  $\tilde{D} = (\tilde{\phi}, \tilde{f})$  such that

- (1)  $C(D) \geq C(\tilde{D})$  and
- (2) each arc  $e$  in  $B$  satisfies  $\tilde{f}(e) \geq f_h(e)$ .

**Proof:** From Propositions 1 and 2, it suffices to consider a file transfer  $D = (\phi, f)$  such that

- (1)  $\sum_{x \in A(m)} f(x, m) = 1$  ( $m \in M \setminus \{v_1\}$ ), and if  $v_1 \in M$ , then  $\sum_{x \in A(v_1)} f(x, v_1) = 0$ , and
- (2)  $\{v \in V \mid \phi(v) > 0\} \subseteq M$ .

For a vertex  $u$  in  $U \setminus M$ , let  $w$  be a vertex in  $H(u) \cap M$ . Select a path  $P$  in  $\tilde{P}_{w,u}$ . Note that  $V(P) \cap M = \{w\}$ . Unless  $d(u)$  copies are made at  $w$ , are transmitted through  $P$  and are sent to  $u$ , then by the above property of  $D$ , we can say that (1)  $k$  ( $1 \leq k \leq d(u)$ ) copies of  $J$  are made at a vertex  $m$  in  $M \setminus \{w\}$ , and (2)  $k$  copies of  $J$  are transmitted through a path  $P'$  in  $\tilde{P}_{w,u} \setminus \{P\}$  such that  $V(P') \cap M = \{m\}$ . Using  $\phi$  and  $f$ , we define a function  $\phi'$  on  $V$  as well as a function  $f'$  on  $B$  to be

$$\begin{aligned} \phi'(m) &= \phi(m) - k, & \phi'(w) &= \phi(w) + k, \\ \phi'(v) &= \phi(v) & (\text{otherwise}), \\ f'(e) &= f(e) - k & (e \in B(P') \setminus B(P)), \\ f'(e) &= f(e) + k & (e \in B(P) \setminus B(P')), \\ f'(e) &= f(e) & (\text{otherwise}). \end{aligned}$$

Clearly  $\phi'$  and  $f'$  satisfy (C1). By Lemma 1 and  $M \subseteq U$ , there exists an  $f'$ -positive  $v_1$ - $v$  path for every vertex  $v$  in  $M$ . Then, since  $V(P') \cap M = \{m\}$ , there also exists an  $f'$ -positive  $v_1$ - $v$  path for every vertex  $v$  in  $M$ , which implies that  $\phi'$  and  $f'$  satisfy (C2). Hence  $D' = (\phi', f')$  is a file transfer on  $N$ . Since  $V(P) \cap M = \{w\}$ ,  $P \in \tilde{P}_{w,u}$ ,  $V(P') \cap M = \{m\}$ , and  $P' \in \tilde{P}_{m,u}$ , we can say

that  $B(P) \cup B(P')$  contains no arc whose end vertex is a mother vertex. Then from the above property (1) of  $D$ , we have  $\sum_{x \in A(m)} f'(x, m) = 1$  for every vertex  $m$  in  $M \setminus \{v_1\}$  and if  $v_1 \in M$ , then  $\sum_{x \in A(v_1)} f'(x, v_1) = 0$ . From  $w \in M$  and the above property (2) of  $D$ , we have  $\{v \in V \mid \phi'(v) > 0\} \subseteq M$ . Moreover by  $w \in H(u)$  and Definition 5, we have  $C(D) - C(D') = \{c_v(m) + c(P') - c_v(w) - c(P)\} \cdot k \geq 0$ . Note that we have  $f'(e) \geq f(e) + k$  for every arc  $e$  in  $B(P)$ . If necessary, we repeat the above operation and we get a file transfer  $D'' = (\phi'', f'')$  where  $d(u)$  copies are made at  $w$ , are transmitted through  $P$  and are sent to  $u$ , where  $f''(e) \geq f(e) + d(u)$  for every arc  $e$  in  $B(P)$ . Similarly in the case of  $u$ , repeating the above operation for each vertex in  $U \setminus M$ , we finally get a file transfer  $\tilde{D}$  satisfying the proposition.  $\square$

**Lemma 7:** Suppose that for any file transfer  $D = (\phi, f)$  and any delivery transfer  $D_h = (\phi_h, f_h)$  on  $N = (V, B, c_v, d, c_a)$ , there holds (1)  $\{v \in V \mid \phi(v) > 0\} \subseteq M$ , and (2) every arc  $e$  in  $B$  satisfies  $f(e) \geq f_h(e)$ . Let

$$f_+(e) = f(e) - f_h(e) \quad (e \in B). \quad (3)$$

Then, we have

$$\sum_{x \in A(v)} f_+(x, v) = \sum_{y \in A(v)} f_+(v, y) \quad (v \in V \setminus M'),$$

where  $M' = M \cup \{v_1\}$ . If  $v_1 \in M$ , we have

$$1 + \sum_{x \in A(v_1)} f_+(x, v_1) = \sum_{y \in A(v_1)} f_+(v_1, y).$$

**Proof:** From the condition (1) of  $D$ , there holds  $\phi(v) = 0$  for every vertex  $v$  in  $V \setminus M$ . Then we get the first equation from (C1), Eq. (1), and Eq. (3). Similarly, we get the other equation from (C1), Eq. (2), and Eq. (3).  $\square$

#### 4. Supply Transfer

**Definition 6:** In  $N = (V, B, c_v, d, c_a)$  and let  $T$  be an arborescence with root  $v_1$  and vertex set  $M' = M \cup \{v_1\}$ . Then for each arc  $e = (x, y)$  in  $B(T)$ , select a path  $P$  in  $\tilde{P}_{x,y}$ , and associate with  $P$  a number 1. Let  $\mathbf{P}$  be the set of such  $|M'| - 1$  uniformly weighted paths and let  $N_T$  be the superimposition net of  $\mathbf{P}$ , where a number  $w(e)$  is associated with each arc  $e$ .  $D_T = (\phi_T, f_T)$  is called a supply transfer on  $N$  if a function  $\phi_T$  from  $V$  into  $\mathbf{Z}_+$  as well as a function  $f_T$  from  $B$  into  $\mathbf{Z}_+$  satisfies

$$\phi_T(v) = \delta_+(v; T) - 1 \quad (v \in M'),$$

$$\phi_T(v) = 0 \quad (\text{otherwise}),$$

$$f_T(e) = w(e) \quad (e \in B(N_T)),$$

$$f_T(e) = 0 \quad (\text{otherwise}),$$

where  $\delta_+(v; T)$  denotes the out-degree of a vertex  $v$  in  $T$ . Moreover, the cost, denoted by  $C(D_T)$ , of  $D_T$  is defined as

$$C(D_T) = \sum_{u \in V} c_v(u) \cdot \psi_T(u) + \sum_{e \in B} c_a(e) \cdot f_T(e). \quad \square$$

Any supply transfer has the following property.

**Lemma 8:** Let  $D_T = (\psi_T, f_T)$  be a supply transfer on  $N = (V, B, c_v, d, c_a)$ , where  $T$  is an arborescence with root  $v_1$  and vertex set  $M' = M \cup \{v_1\}$ . Then there hold

$$\delta_+(v_1; T) = \sum_{y \in A(v_1)} f_T(v_1, y) \text{ and } \sum_{x \in A(v_1)} f_T(x, v_1) = 0,$$

$$\delta_+(v; T) = \sum_{y \in A(v)} f_T(v, y)$$

$$\text{and } \sum_{x \in A(v)} f_T(x, v) = 1 \quad (v \in M \setminus \{v_1\}),$$

$$\sum_{x \in A(v)} f_T(x, v) = \sum_{y \in A(v)} f_T(v, y) \quad (v \in V \setminus M'), \quad (4)$$

where  $\delta_+(v; T)$  denotes the out-degree of a vertex  $v$  in  $T$ . Moreover, let  $P_e$  be a path in  $\tilde{P}_{x,y}$  with which we get  $D_T$  for an arc  $e = (x, y)$  in  $B(T)$ . Then we have

$$C(D_T) = -c_v(v_1) + \sum_{e=(x,y) \in B(T)} \{c_v(x) + c(P_e) - c_v(y)\}. \quad (5)$$

**Proof:** Since we superimpose a path  $P_e$  with which an integer 1 is associated for each arc  $e$  in  $B(T)$  and both the start vertex and end vertex of  $P_e$  are in  $M'$ , it is clear that we have Eq. (4). Let  $P$  be the set of such  $|M'| - 1$  paths. Then there holds

$$\sum_{e \in B} c_a(e) \cdot f_T(e) = \sum_{P \in P} c(P).$$

Since  $T$  is an arborescence with root  $v_1$ , we have

$$\begin{aligned} & \sum_{u \in M'} \{\delta_+(v; T) - 1\} \cdot c_v(u) \\ &= -c_v(v_1) + \sum_{(x,y) \in B(T)} \{c_v(x) - c_v(y)\}. \end{aligned}$$

From these two equations and Definition 6, we easily get Eq. (5). Hence this lemma.  $\square$

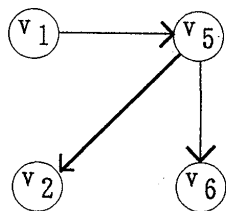


Fig. 4 An arborescence  $T$  with root  $v_1$ .

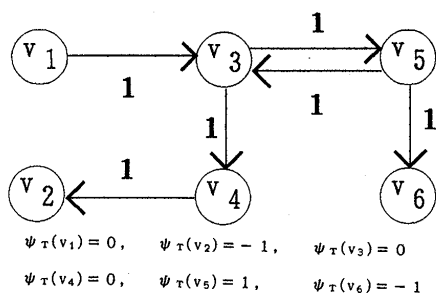


Fig. 5 A supply transfer  $D_T = (\psi_T, f_T)$ .

As an example, for  $M = \{v_1, v_2, v_3, v_6\}$  on  $N$  in Fig. 1, we choose an arborescence  $T$  with root  $v_1$  shown in Fig. 4. Then we have a supply transfer  $D_T$  shown in Fig. 5, where a number beside an arc  $e$  denotes  $f_T(e)$ . In relation to a supply transfer and a delivery transfer, the following lemma holds.

**Lemma 9:** For any delivery transfer  $D_h = (\psi_h, f_h)$  and any supply transfer  $D_T = (\psi_T, f_T)$  on  $N = (V, B, c_v, d, c_a)$ , if a function  $\psi$  on  $V$  as well as a function  $f$  on  $B$  satisfies

$$\begin{aligned} \psi(v) &= \psi_T(v) + \psi_h(v) \quad (v \in V), \\ f(e) &= f_T(e) + f_h(e) \quad (e \in B), \end{aligned} \quad (6)$$

then  $D = (\psi, f)$  is a file transfer on  $N$ . Moreover, there holds

$$C(D) = C(D_h) + C(D_T). \quad (7)$$

**Proof:** It turns out from Definitions 5, 6 and Eq. (6), that  $\psi$  and  $f$  satisfy (C1). From Definitions 5, 6 and Eq. (6), we have  $\{v \in V \mid \psi(v) > 0\} \setminus \{v_1\} \subseteq M$ , and from Definition 6 we have an  $f_T$ -positive  $v_1$ - $m$  path for each vertex  $m$  in  $M$ . Then clearly this implies that  $\psi$  and  $f$  satisfies (C2). Therefore we can say that  $D = (\psi, f)$  is a file transfer on  $N$ . We can easily get Eq. (7) from Definitions 5, 6 and Eq. (6).  $\square$

In the following, if a file transfer  $D$  satisfies Eq. (6) for a supply transfer  $D_T$  and a delivery transfer  $D_h$ , then we simply write  $D = D_T + D_h$ .

**Lemma 10:** Suppose that a file transfer  $D = (\psi, f)$  on  $N = (V, B, c_v, d, c_a)$  such that  $M \subseteq U$  satisfies Propositions 1, 2, and 3. Namely, there hold

- (1)  $\sum_{x \in A(v)} f(x, v) = 1$  for any vertex  $v$  in  $M \setminus \{v_1\}$ ,
- (2)  $\sum_{x \in A(v_1)} f(x, v_1) = 0$ , if  $v_1 \in M$ ,
- (3)  $S \setminus \{v_1\} \subseteq M$  for the supply vertex set  $S$  with respect to  $D$ , and
- (4) each arc  $e$  in  $B$  satisfies  $f(e) \geq f_h(e)$  for some delivery transfer  $D_h = (\psi_h, f_h)$ .

For a function  $f_+$  on  $B$  defined as Eq. (3), let  $G$  be a directed multiple graph where vertex set is  $V$  and  $f_+((x, y))$  arcs exist from a vertex  $x$  to a vertex  $y$ . Then if  $G$  contains no path from  $v_1$  to a mother vertex, then there exists in  $N$  a file transfer  $\tilde{D} = (\tilde{\psi}, \tilde{f})$  which satisfies the above four conditions,  $C(D) \geq C(\tilde{D})$ , and  $\tilde{G}$  contains a path from  $v_1$  to any mother vertex, where  $\tilde{G}$  is obtained from  $\tilde{f}$  in the same way that  $G$  is obtained from  $f$ .

**Proof:** Let  $M' = M \cup \{v_1\}$  and let  $R = \{m \in M' \mid G \text{ contains a } v_1\text{-}m \text{ path}\}$  for the above directed multiple graph  $G$ . In order to prove this lemma, it suffices to show that if  $R \subset M'$ , then there exists a directed multiple graph  $G'$  such that  $R \subset R'$  where  $R' = \{m \in M' \mid G' \text{ contains a } v_1\text{-}m \text{ path}\}$  and  $G'$  is obtained from  $f'$  in the same way that  $G$  is obtained from  $f$  such that a file transfer  $D' = (\psi', f')$  satisfies the above 4 conditions and  $C(D) \geq C(D')$ . From the above (1) and

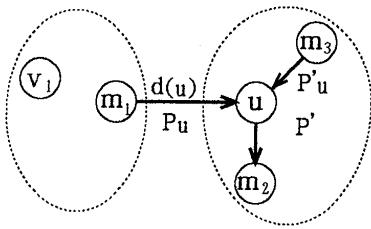


Fig. 6 An illustration for Lemma 10.

Eq. (3), we have  $\sum_{x \in A(m)} f_x(x, m) = 1$  for every mother vertex  $m$ , which implies that  $G$  contains at least  $|M'| - 1$  paths where end vertex is  $m$ , the start vertex is in  $M'$ , and every vertex but the start and end vertex is in  $V \setminus M$  by Lemma 7. Although  $R \subset M'$ , there exists in  $D$  an  $f$ -positive  $m_1$ - $m_2$  path  $P$  such that  $V(P) \cap M' = \{m_1, m_2\}$  for a vertex  $m_1$  in  $R$  and a vertex  $m_2$  in  $M' \setminus R$ . Let  $m_3$  be the start vertex of  $P'$  where  $m_2$  is the end vertex of  $P'$  and  $V(P') \cap M' = \{m_3, m_2\}$ . Since  $G$  has no  $m_1$ - $m_2$  path, we can say that  $D$  contains an  $f$ -positive  $m_1$ - $u$  subpath  $P_u$  of  $P$  for a vertex  $u$  in  $V(P) \cap V(P')$ . Let  $P'_u$  be the  $m_3$ - $u$  subpath of  $P'$ . (See Fig. 6) For  $D = (\phi, f)$ , we define a function  $\phi'$  on  $V$  as well as a function  $f'$  on  $B$  as

$$\begin{aligned} \phi'(m_1) &= \phi(m_1) + 1, \\ \phi'(m_3) &= \phi(m_3) - 1, \\ \phi'(v) &= \phi(v) \quad (\text{otherwise}), \\ f'(e) &= f(e) + 1 \quad (e \in B(P_u) \setminus B(P'_u)), \\ f'(e) &= f(e) - 1 \quad (e \in B(P'_u) \setminus B(P_u)), \\ f'(e) &= f(e) \quad (\text{otherwise}). \end{aligned} \quad (8)$$

Clearly  $\phi'$  and  $f'$  satisfy (C1). Since  $\phi$  and  $f$  satisfy (C2),  $V(P'_u) \cap M' = \{m_3\}$  and  $m_3$  is the start vertex of  $P'_u$ , we can say that  $\phi'$  and  $f'$  satisfy (C2). Then  $D' = (\phi', f')$  is a file transfer on  $N$ . It is clear that  $D'$  satisfies the above conditions (1), (2), and (3). Moreover every arc  $e$  in  $B(P'_u)$  exists in  $G$ , which implies  $f(e) > f_h(e)$ . Then from Eq. (8),  $f'$  satisfies the above Eq. (4). Let  $G'$  be a directed multiple graph obtained from  $f'$  in the same way that  $G$  is obtained from  $f$ . Let  $R' = \{m \in M' \mid G' \text{ contains a } v_1\text{-}m \text{ path}\}$ . We can say a relation between  $G$  and  $G'$  as follows;  $G'$  is obtained from  $G$  by deleting an  $m_3$ - $u$  path and adding an  $m_1$ - $u$  path. This means that  $R \subseteq R'$  because  $m_1 \in R$ . It turns out from  $m_2 \in R'$  and  $m_2 \notin R$  that  $R' \supsetneq R \cup \{m_2\} \supset R$ , which implies  $R' \supset R$ . By  $m_1 \in H(u)$ ,  $P_u \in \mathcal{P}_{m_1, u}$  and Definition 5, we get

$$\begin{aligned} C(D) - C(D') &= c_v(m_2) + c(P'_u) - \{c_v(m_1) + c(P_u)\} \\ &= c_v(m_2) + c(P'_u) - \{c_v(m_1) + c_{m_1, u}\} \geq 0. \end{aligned}$$

Hence this lemma.  $\square$

The following proposition shows the relation between a file transfer written in Definition 6 and any

file transfer.

**Proposition 4:** For any file transfer  $D$  and any delivery transfer  $D_h$  on  $N = (V, B, c_v, d, c_a)$  such that  $M \subseteq U$ , there exists on  $N$  a supply transfer  $D_r$  such that (1)  $C(D) \geq C(D')$  and (2)  $D' = D_r + D_h$ .

**Proof:** By Lemma 10, it is no problem that we assume that a file transfer  $D = (\phi, f)$  on  $N$  satisfies the four conditions of Lemma 10, and assume that  $G$  contains a  $v_1$ - $v$  path for every mother vertex  $v$  where  $G$  is a directed multiple graph obtained as in Lemma 10. In this proof, let  $M' = M \cup \{v_1\}$  and let  $\delta_+(u; G_r)$  and  $\delta_-(u; G_r)$  denote the out-degree and the in-degree of a vertex  $u$  in a directed graph  $G_r$ , respectively. Then from the above assumption and Lemma 7, we have

$$\begin{aligned} \delta_-(v; G) &= 1 & (v \in M \setminus \{v_1\}), \\ \delta_+(v; G) &= \delta_-(v; G) & (v \in V \setminus M'). \end{aligned} \quad (9)$$

If  $v_1 \notin M$ , then

$$1 + \delta_-(v_1; G) = \delta_+(v_1; G), \quad (10)$$

Otherwise,  $\delta_-(v_1; G) = 0$ . By the above assumption,  $G$  contains a  $v_1$ - $m$  path  $P_1$  such that  $V(P_1) \cap M = \{m_1\}$ . Let  $G_1$  be a directed graph which is obtained from  $G$  by deleting  $P_1$ . In the following, we consider the case of  $v_1 \notin M$ , because we can similarly prove the case of  $v_1 \in M$ . If  $v_1 \in M$ , then from Eqs. (9) and (10), we have

$$\begin{aligned} \delta_-(m_1; G_1) &= 0 \\ \delta_-(v; G_1) &= 1 & (v \in M \setminus \{m_1\}), \\ \delta_+(v; G_1) &= \delta_-(v; G_1) & (v \in V \setminus M). \end{aligned} \quad (11)$$

It turns out from Eq. (11) that for some vertex  $m_2$   $G_1$  contains an elementary  $m'_2$ - $m_2$  path  $P_2$  such that  $V(P_2) \cap M = \{m'_2, m_2\}$  and  $m'_2 \in M$ . Let  $G_2$  be a directed graph which is obtained from  $G_1$  by deleting  $P_2$ . Then repeating the similar way that we get  $G_2$  from  $G_1$ , finally we have a directed graph  $G'$  such that

$$\begin{aligned} \delta_-(v; G') &= 0 & (v \in M), \\ \delta_+(v; G') &= \delta_-(v; G') & (v \in V \setminus M), \end{aligned} \quad (12)$$

with  $|M|$  paths set  $\mathcal{P}$  such that  $\mathcal{P}$  satisfies

(P1) every path  $P$  in  $\mathcal{P}$  satisfies the end vertex of  $P$  is each vertex in  $M$ , the start vertex of  $P$  is in  $M'$ , and every vertex but the start and the end vertex in  $V(P)$  is in  $V \setminus M$ .

Note that we obtain  $G$  from  $G'$  by adding all paths in  $\mathcal{P}$ . From Eq. (12), we have  $\delta_+(m; G') = 0$  for every mother vertex  $m$ , which implies that  $\delta_+(v; G') = \delta_-(v; G')$  for any vertex  $v$  in  $G'$ . Then there exists a circuit set  $\mathcal{L}$  such that  $G'$  is identical with the superimposition net  $N(\mathcal{L})$  of  $\mathcal{L}$  and that every circuit  $L$  in  $\mathcal{L}$  satisfies  $V(L) \subseteq V \setminus M$ . As a result,  $G$  is identical with  $N(\mathcal{P} \cup \mathcal{L})$ . For a set  $\mathcal{P}$  let  $s(P)$  and  $e(P)$  be the start and end vertex of  $P$  in  $\mathcal{P}$ , respectively, and for  $\mathcal{P}$  which satisfies (P1) we define a directed graph  $T$  as

(P2)  $V(T) = M'$  and  $B(T) = \{(s(P), e(P)) \mid P \in \mathcal{P}\}$ .

In order to prove this proposition it suffices to show that there exists a path set  $P$  and a circuit set  $L$  such that

- (1)  $G$  is identical with  $N(P \cup L)$ ,
- (2)  $P$  satisfies (P1), and
- (3) A directed graph defined as (P2) is connected and an arborescence whose root is  $v_1$ , because it turns out from the above (2), (3), and Definition 6 that  $N(P)$  is a supply transfer on  $N$ , denoted by  $D_T$ , which implies from Lemma 9 and the above (1) that we can say  $D' = D_T + D_h$  is a file transfer on  $N$  and

$$C(D) = C(D_T) + C(D_h) + \sum_{L \in L} c(L) \geq C(D').$$

For a directed graph  $T$  defined as (P2), let  $R = \{v \in M' \mid T \text{ contains a } v_1-v \text{ path}\}$ . Unless  $T$  satisfies the above (3), then a connected component including  $v_1$  of  $T$  is an arborescence whose root is  $v_1$ , every other connected component is a circuit, and there holds  $R \subset M'$ . In order to prove the existence of a path set  $P$  and a circuit set  $L$  which satisfy the above 3 conditions, it suffices to show that for such  $T$  there exists a directed graph  $T''$  such that  $R \subset R''$  where  $R'' = \{v \in M' \mid T'' \text{ contains a } v_1-v \text{ path}\}$  and  $T''$  is defined as (P2) from a path set  $P''$  satisfying the above (1) and (2) for a circuit set  $L''$ . From the above assumption,  $G$  contains an  $m'-m$  path  $P_3$  such that  $m' \in R$ ,  $m \in M' \setminus R$ , and  $V(P_3) \cap M' = \{m', m\}$ . Let  $P_4$  be a path in  $P$  whose end vertex is  $m$  and let  $s$  be the start vertex of  $P_4$ . Note here that an arc  $(v, m) \in B(P_3)$  is in  $B(P_4)$  because  $P$  satisfies (P1). Then the following two cases are considered if an arc  $e = (x, y)$  is not contained in  $B(P_4)$ .

- (Case 1)  $e \in B(L)$  for a circuit in  $L$ , and
- (Case 2)  $e \in B(P_5)$  for a path  $P_5$  but  $P_4$  in  $P$ .

For each case we consider the following operations. In the Case 1, let  $P'_4$  be an  $s-m$  path whose  $s-y$  subpath is identical with that of  $P_4$ , whose  $y-y$  path is identical with  $L$ , and whose  $y-m$  subpath is identical with that of  $P_4$ , let  $P' = P \setminus \{P_4\} \cup \{P'_4\}$ , and let  $L' = L \setminus \{L\}$ . Then  $P'$  and  $L'$  satisfy the above (1) and (2).

In the Case 2, let  $t$  and  $w$  be the start and end vertex of  $P_5$ . Let  $P'_4$  be a  $t-m$  path whose  $t-y$  subpath is identical with that of  $P_5$  and whose  $y-m$  subpath is identical with that of  $P_4$  and let  $P'_5$  be an  $s-w$  path whose  $s-y$  subpath is identical with that of  $P_4$  and whose  $y-w$  subpath is identical with that of  $P_5$ , and let  $P' = P \setminus \{P_4, P_5\} \cup \{P'_4, P'_5\}$ . Then  $P'$  and  $L$  satisfy the above (1) and (2).

Note that in both cases, we have  $(x, y) \in B(P'_4)$ . Clearly, we can repeat the above operation for  $P'_4$  instead of  $P_4$  unless  $e \in B(P_3)$  is contained in  $B(P'_4)$ . Suppose that vertices on  $P_3$  appear in order of  $m' = u_1, u_2, \dots, u_k = m$ . We repeat the above operation in order of  $i = k, k-1, \dots, 2$ , for every arc  $(u_{i-1}, u_i)$  in  $B(P_3)$ , and finally get a path set  $P''$  which satisfies (P1). Clearly, there exists a circuit set  $L''$  which satisfies the

above (1) and (2) for this  $P''$ . We get a directed graph  $T''$  defined as (P2) from this  $P''$ , and let  $R'' = \{v \in M' \mid T'' \text{ contains a } v_1-v \text{ path}\}$ . Since every connected component but one including  $v_1$  in  $T$  is a circuit and  $m \in R'' \setminus R$ , we have  $R \subset R''$ . Hence this proposition.  $\square$

It turns out from Lemma 6 and Eq. (7) that we should consider to minimize the cost of a supply transfer in order to synthesize an optimal file transfer.

## 5. Minimum Cost Supply Transfer

A supply transfer whose cost is minimum among all supply transfers is called a minimum cost supply transfer, which we aim to construct in this section. The following associated net is very useful for our purpose.

**Definition 7:** Let  $M' = M \cup \{v_1\}$  in  $N = (V, B, c_v, d, c_a)$ . Then the associated net  $AN$  is defined to be an undirected complete graph with vertex set  $M'$ , in which to each edge  $(x, y)$  a value of  $c_v(x) + c_v(y) + c_{x,y}$  is assigned.  $\square$

In relation to  $AN$ , we have the following lemma.

**Lemma 11:** Let  $G$  be a spanning tree on  $AN$ . Then there holds

$$\sum_{e \in E(G)} w(e) = \sum_{(x,y) \in E(G)} c_{x,y} + \sum_{u \in M'} \delta(u; G) \cdot c_v(u), \quad (13)$$

where  $\delta(u; G)$  denotes the degree of a vertex  $u$  in  $G$ .

**Proof:** From Definition 7, for each vertex  $u$  in  $M'$ ,  $c_v(u)$  appears  $\delta(u; G)$  times in left-hand side of Eq. (13). Hence it is clear that Eq. (13) holds.  $\square$

**Proposition 5:** In  $N = (V, B, c_v, d, c_a)$ , let  $T$  be an arborescence with root  $v_1$  and vertex set  $M \cup \{v_1\}$ . Then the cost of a supply transfer  $D_T$  is minimum if and only if there hold

(A1) For each arc  $(x, y)$  in  $B(T)$ , a path in  $\tilde{P}_{x,y}$  is selected to form  $D_T$ , and

(A2) the structure of  $T$  is identical with that of a minimum spanning tree on the associated net  $AN$ .

**Proof:** Clearly holds (A1). Then we should prove (A2). Let  $G$  be the underlying graph of  $T$ . Note that

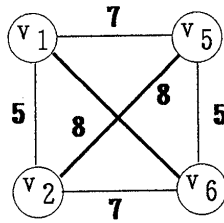
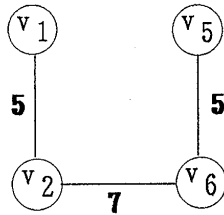
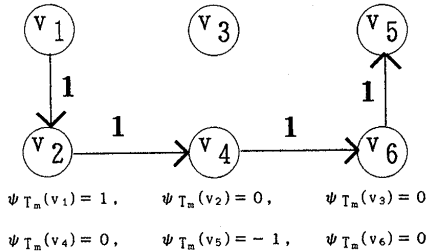
$$\sum_{(x,y) \in E(G)} c_{x,y} = \sum_{(x,y) \in B(T)} c_{x,y}. \quad \text{From Definition 7, it is}$$

clear that  $AN$  contains a spanning tree whose structure is identical with  $G$ . Let  $\delta_+(u; T)$  and  $\delta_-(u; T)$  denote the out-degree and the in-degree of a vertex  $u$  in  $T$ , respectively, and let  $\delta(u; G)$  denote the degree of a vertex  $u$  in  $G$  and let  $M' = M \cup \{v_1\}$ . With the use of  $T$  and (A1), we make a supply transfer  $D_T$ . Since  $T$  is an arborescence with root  $v_1$ , then every vertex  $u$  in  $M \setminus \{v_1\}$  satisfies  $\delta_-(u; T) = 1$  and  $\delta(u; G) = \delta_+(u; T) + 1$ . Then, we see from  $\sum_{(x,y) \in E(G)} c_{x,y} = \sum_{(x,y) \in B(T)} c_{x,y}$  and

Lemma 11 that

$$\begin{aligned} C(D_T) &= \sum_{(u,w) \in B(T)} \{c_v(u) + c_{u,w} - c_v(w)\} \\ &= \sum_{(u,w) \in B(T)} c_{u,w} + \sum_{u \in M'} c_v(u) \{\delta_+(u; T)\} \end{aligned}$$



Fig. 7 The associated net  $AN$  of  $N$ .Fig. 8 A minimum spanning tree  $T_m$  on  $AN$ .Fig. 9 A minimum cost supply transfer  $D_{T_m} = (\psi_{T_m}, f_{T_m})$ .

$$\begin{aligned}
 & -\delta_-(u; T) \} \\
 & = \sum_{(u,w) \in B(T)} c_{u,w} + \sum_{u \in M'} c_v(u) \{ \delta(u; G) - 2 \} \\
 & \quad + 2c_v(v_1) \\
 & = \sum_{e \in E(G)} w(e) - 2 \sum_{u \in M'} c_v(u) + 4c_v(v_1),
 \end{aligned}$$

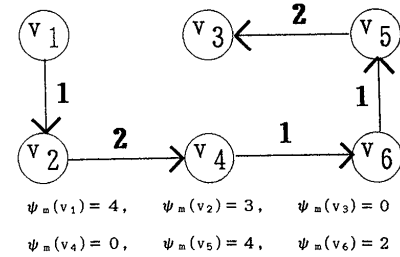
where  $-2 \sum_{u \in M'} c_v(u) + 4c_v(v_1)$  is constant independently of the structure of  $T$ . Thus,  $D_T$  is a minimum cost supply transfer if and only if  $G$  is a minimum spanning tree on  $AN$ . Hence this proposition.  $\square$

For a file transmission net  $N$  in Fig. 1, we get the associated net  $AN$  in Fig. 7 from Definition 7. For a minimum spanning tree on  $AN$  shown in Fig. 8, we have a minimum cost supply transfer shown in Fig. 9 from Proposition 5. Note that a number beside an arc  $e$  denotes  $f_{T_m}(e)$ .

## 6. Algorithm to Synthesize an Optimal File Transfer

From Proposition 4 as well as Proposition 5, we get the following theorem.

**Theorem:** In  $N = (V, B, c_v, d, c_a)$  such that  $M \subseteq U$ , let  $D_h$  be a delivery transfer. Let  $T$  be an arborescence with root  $v_1$  and vertex set  $M \cup \{v_1\}$ . If the structure of  $T$  is identical with that of a minimum spanning tree on the associated net  $AN$ , then a file transfer  $D = D_T + D_h$

Fig. 10 An optimal file transfer  $D_m = (\psi_m, f_m)$  on  $N$ .

is optimal on  $N$ , where  $D_T$  is a supply transfer.  $\square$

Based on this theorem, an algorithm for synthesizing an optimal file transfer on  $N$  is given as follows.

### Algorithm

Step1: In a given file transmission net  $N = (V, B, c_v, d, c_a)$ , search a minimum cost path and its cost between two vertices in  $U$ . Let  $f(e) \leftarrow 0$  for each arc  $e$  in  $B$ .

Step2: Sort vertices in  $U$  with a nondecreasing order of their costs, i.e.,  $c_v(u_1) \leq c_v(u_2) \leq \dots \leq c_v(u_k)$  ( $k = |U|$ ).

Step3:  $i \leftarrow 2$ ,  $M \leftarrow \{u_1\}$ .

Step4: If  $i = k + 1$ , then go to Step 6.

Step5: For  $j = 1, 2, \dots, i - 1$ , if  $c_v(u_i) < c_v(u_j) + c_{u_j, u_i}$ , then  $M \leftarrow M \cup \{u_i\}$ . Otherwise let  $i \leftarrow i + 1$  and go to Step 4.

Step6:  $U \leftarrow U \setminus M$ . Let  $\phi(v) \leftarrow d(v)$  for each vertex  $v$  in  $M$  and let  $\phi(v) \leftarrow 0$  for each vertex  $v$  in  $V \setminus M$ .

Step7: If  $U = \emptyset$ , then go to Step 9.

Step8: Select a vertex  $u$  from  $U$ . For  $u$ , search a vertex  $m'$  in  $M$  such that

$$c_v(m') + c_{m',u} = \min_{m \in M} \{c_v(m) + c_{m,u}\},$$

for every other vertex  $m$  in  $M$ . Then  $\phi(m') \leftarrow \phi(m') + d(u)$ , and for every arc  $e$  on path  $P$  in  $\tilde{P}_{m',u}$ , let  $f(e) \leftarrow f(e) + d(u)$ .  $U \leftarrow U \setminus \{u\}$  and go to Step 7.

Step9:  $M' \leftarrow M \cup \{v_1\}$ . Construct the associated net  $AN$  whose edge  $(m_1, m_2)$  is weighted with  $c_v(m_1) + c_v(m_2) + c_{m_1, m_2}$  for any two distinct vertices  $m_1$  and  $m_2$  in  $M'$ .

Step10: Find a minimum spanning tree  $G$  of  $AN$ .

Let  $T$  be an arborescence with root  $v_1$  whose structure is identical with that of  $G$ .

Step11: For every arc  $(x, y)$  in  $B(T)$ , let (1)  $\phi(x) \leftarrow \phi(x) + 1$ ,  $\phi(y) \leftarrow \phi(y) - 1$ , and (2) for every arc  $e$  on a path in  $\tilde{P}_{x,y}$ , let  $f(e) \leftarrow f(e) + 1$ .

Step12:  $\phi(v_1) \leftarrow \phi(v_1) - 1$ . Then we get  $D = (\phi, f)$ , which is an optimal file transfer on  $N$ .

Step13: Terminate.  $\square$

We can get an optimal file transfer from the above proposed algorithm, which needs an algorithm for finding a shortest path such as Dijkstra's<sup>(2)</sup> and an algorithm for finding a minimum spanning tree such as Prim's.<sup>(3)</sup> Our algorithm takes  $O(nm + n^2 \log n)$  time-complexity if we use Fibonacci heap<sup>(4)</sup> in searching a shortest path from one vertex to every other vertex in  $V$ .

with  $O(m + n \log n)$  time-complexity, where  $n = |V|$  and  $m = |B|$ .

On a file transmission net  $N$  in Fig. 1, by our algorithm, we get an optimal file transfer  $D_m = (\phi_m, f_m)$  shown in Fig. 10, where a number beside an arc  $e$  denotes  $f_m(e)$ . The cost of  $D_m$  is

$$C(D_m) = 1 \cdot 4 + 2 \cdot 3 + 2 \cdot 4 + 2 \cdot 2 + 2 + 2 + 2 + 1 + 2 \\ = 31.$$

## 7. Conclusions

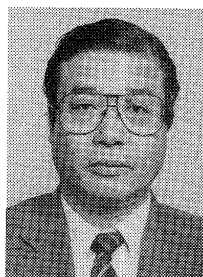
In this paper, we have proposed a problem of distributing copies of some information  $J$  through a file transfer from a vertex  $v_1$  to every vertex on a file transmission net  $N$ . As a result, for the special situation where the mother vertex set  $M$  and the positive demand vertex set  $U$  satisfy  $M \subseteq U$  on  $N$ , we have shown a method of synthesizing an optimal file transfer whose total cost of transmitting and making copies of  $J$  is minimum on  $N$ .

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